# SEC Form 4

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| I | OMB Number:            | 3235-0287 |
|---|------------------------|-----------|
|   | Estimated average burd | en        |
| l | hours per response:    | 0.5       |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| 1. Name and Add  | 1 0                 |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>UNITIL CORP [ UTL ] | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)    |  |  |  |  |  |  |
|--|---------------------|----------|---|---|--|--|--|--|--|--|
| WHITELEY   | <u>( DAVID A</u>    |          |   | X Director 10% Owner  |  |  |  |  |  |  |
| (Last)<br>6 LIBERTY L  | (First)<br>ANE WEST | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>10/01/2020            | Officer (give title Other (specify below) below)                              |  |  |  |  |  |  |
| ,  |                     |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                  | 6. Individual or Joint/Group Filing (Check Applicable Line)                   |  |  |  |  |  |  |
| (Street)<br>HAMPTON  | NH                  | 03842    |   | X Form filed by One Reporting Person<br>Form filed by More than One Reporting |  |  |  |  |  |  |
| (City)   | (State)             | (Zip)    |   | Person  |  |  |  |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                     |          |   |   |  |  |  |  |  |  |

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | n Disposed Of (D) (Instr. 3 |               | . Securities Acquired (A) or<br>visposed Of (D) (Instr. 3, 4 and 5) |  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|------------------------------|---|-----------------------------|---------------|---|--|---|---|
|                                 |  |   | Code                         | v | Amount                      | (A) or<br>(D) | Price   | Reported<br>Transaction(s)<br>(Instr. 3 and 4) |   | (Instr. 4)  |
| Common stock, no par value      | 10/01/2020(1)                              |   | A                            |   | 1,792                       | A             | \$39.048  | 1,792  | D   |   |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. 11. Nature 2. Conversion Transaction Code (Instr. Expiration Date (Month/Day/Year) Derivative Date Execution Date, of Amount of Derivative derivative Ownership of Indirect if any (Month/Day/Year) Security (Instr. 5) Form: Direct (D) Security or Exercise (Month/Dav/Year) Derivative Securities Securities Beneficial (Instr. 3) Price of 8) Securities Underlying Beneficially Ownership Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Derivative **Derivative Security** Owned or Indirect (Instr. 4) Following Reported Transaction(s) Security (Instr. 3 and 4) (I) (Instr. 4) (Instr. 4) Amount Number Expiration Date Date v (A) (D) Exercisable Title Shares Code Restricted Common (2) (2) 0 9,248 D stock units stock

## **Explanation of Responses:**

1. Shares were purchased on my behalf by Unitil Corporation and transferred into my name in connection with the stock portion of my annual Retainer Fee received as a Director of the Company. 2. Each restricted stock unit is equivalent in value to one share of Unitil Corporation's common stock, no par value ("Common Stock"), and represents the right to receive a combination of cash and Common Stock after separation from service on Unitil Corporation's Board of Directors. Each restricted stock unit is fully vested upon grant and is payable 70% in Common Stock and 30% in cash, based upon the closing price of Common Stock on the day prior to settlement.

### Remarks:

10/05/2020

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).